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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/680,358

10/07/2003

Frank J. Schwab

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04/28/2009

MEDTRONIC

Attn: Noreen Johnson - IP Legal Department

2600 Sofamor Danek Drive

MEMPHIS, TN 38132

EXAMINER

WOODALL, NICHOLAS W

ART UNIT

PAPER NUMBER

3775

MAIL DATE

DELIVERY MODE

04/28/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/680,358	Applicant(s) SCHWAB ET AL.	
	Examiner Nicholas Woodall	Art Unit 3775	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 February 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-19,22-27,29-40,49-51 and 53-57 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3-19,22-27,29,30,32-40 and 49-51 is/are rejected.
- 7) ☒ Claim(s) 31 and 53-57 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is in response to applicant's pre-appeal conference request received on 02/24/2009.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1, 11-19, 29, 30, 32-40, and 49-51 are rejected under 35 U.S.C. 102(b) as being anticipated by Dillhoff (U.S. Patent 4,747,588).

Dillhoff discloses a device comprising a proximal portion and a distal portion (see Figure 1 below). The proximal portion includes a frame having a stationary arm having a handle oriented transversely to the guide members and a moveable arm coupled to the stationary arm, wherein the arms define a C-shaped opening between the arms. The distal portion includes a first guide member extending distally from the movable arm and a second guide member extending distally from the stationary arm, wherein a proximal end of the guide members is attached to the respective arms and the arms are transversely oriented to the guide members. The first and second guide members each include a guide surface extending between a first side and a second side extending between the proximal end and a distal end of the guide members and having a width greater than the width of an element, such as an implant, positioned between the guide members (see Figure 8), wherein the guide surfaces are oriented toward and parallel

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with the guide surface of the opposite guide member and extend from the proximal end to the distal end of the guide members. The guide members further include guide flanges extending along only one side of the guide members projecting from the guide surface of one of the guide members towards the guide surface of the other guide member, wherein the guide flanges are on opposing sides along the length of the guide members (see Figure 7 and 8 of the reference). The first and second guide members are moved relative to one another by moving the moveable arm relative to the stationary arm such that the guide surfaces remain parallel. The stationary arm includes a first vertical extension portion coupled to the first guide member, a lateral extension portion extending transversely from the first vertical extension portion, and a second extension portion opposite the first vertical extension, wherein the moveable arm is coupled to the second extension portion. The moveable arm includes a lateral extension portion extending transversely to the second vertical extension portion of the stationary arm and a vertical extension portion opposite the second vertical extension portion and aligned with the first vertical extension portion.

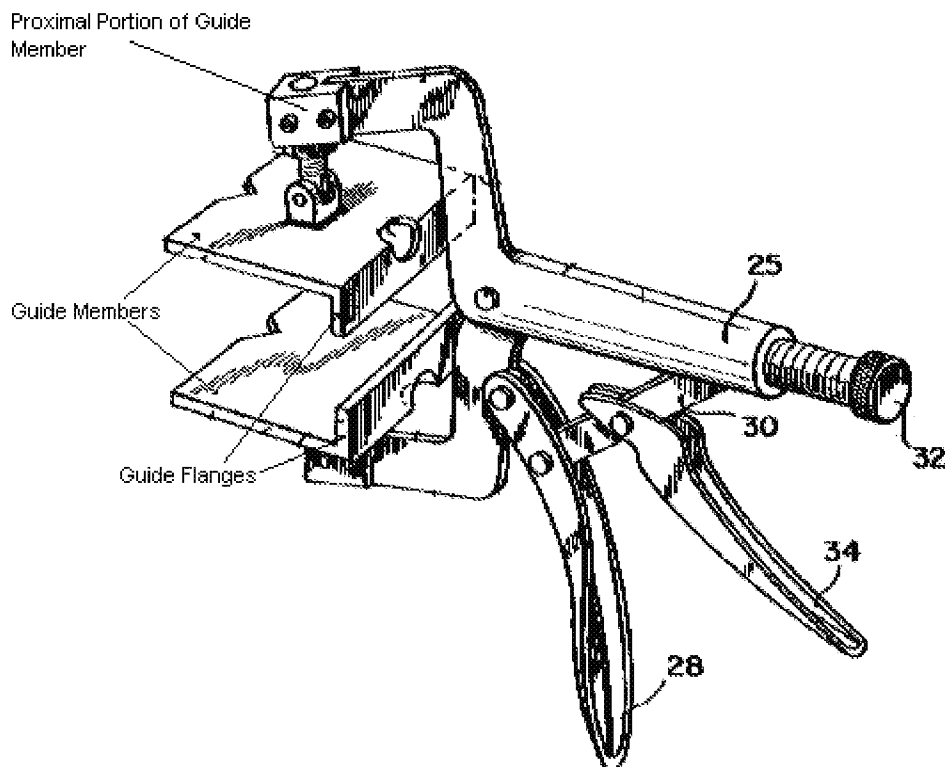
4. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Dillhoff (U.S. Patent 4,747,588) as second interpretation different from the one discussed above.

Dillhoff discloses a device comprising a proximal portion and a distal portion (see Figure 2 below). The proximal portion includes a frame and the distal portion includes a first guide member and a second guide member. The first guide member and the second guide member each include a first side and a second side extending from a

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proximal end to a distal end of the guide members, a guide surface extending from the proximal end to the distal end of the guide members between the first and second sides, and a guide flange extending along only one side each guide member, wherein the guide flange projects from the guide surface towards the guide surface of the opposite guide member and the guide members being located on opposite sides along a length of the guide members when the guide surfaces are facing one another (see Figures 7 and 8 of the reference).

Figure 2



Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over the second interpretation of Dillhoff (U.S. Patent 4,747,588) in view of Zinkel (U.S. Publication 2004/0024291).

Dillhoff discloses the invention as claimed except for the proximal portion of the guide members including a dovetail connection between the guide members the frame. Dillhoff discloses a device wherein the proximal portions of the guide member include a pin and hole connection between the guide members and the frame in order to couple the guide members to the frame (see Figure 3 of the reference). Zinkel teaches a device comprising guide members (94) coupled to a frame (elements 12 and 92), by a dovetail connection, wherein the guide members include a male portion of the dovetail connection and the frame includes a female portion, i.e. receptacle, of the dovetail connection in order to couple the guide members to the frame (see Figure 7A of the Zinkel reference). Because both the device of Dillhoff and the device of Zinkel disclose device comprising a connection between the guide members and a frame, it would have been obvious to one having ordinary skill in the art at the time the invention was made to substitute one connection of the other in order to achieve the predictable results of coupling the guide members to the frame.

7. Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over a second interpretation of Dillhoff (U.S. Patent 4,747,588) in view of Zinkel (U.S. Publication 2004/0024291).

Dillhoff discloses a device comprising a proximal portion and a distal portion (see Figure 2 above). The proximal portion includes a frame and the distal portion includes a first guide member and a second guide member. The first guide member and the second guide member each include a first side and a second side extending from a proximal end to a distal end of the guide members, a guide surface extending from the proximal end to the distal end of the guide members between the first and second sides, and a guide flange extending along only one side each guide member, wherein the guide flange projects from the guide surface towards the guide surface of the opposite guide member and the guide members being located on opposite sides along a length of the guide members when the guide surfaces are facing one another (see Figures 7 and 8 of the reference). Dillhoff fails to disclose the proximal portion of the guide members including a dovetail connection between the guide members the frame. Dillhoff discloses a device wherein the proximal portions of the guide member include a pin and hole connection between the guide members and the frame in order to couple the guide members to the frame (see Figure 3 of the reference). Zinkel teaches a device comprising guide members (94) coupled to a frame (elements 12 and 92), by a dovetail connection, wherein the guide members include a male portion of the dovetail connection and the frame includes a female portion, i.e. receptacle, of the dovetail connection in order to couple the guide members to the frame (see Figure 7A of the

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Zinkel reference). Because both the device of Dillhoff and the device of Zinkel disclose device comprising a connection between the guide members and a frame, it would have been obvious to one having ordinary skill in the art at the time the invention was made to substitute one connection of the other in order to achieve the predictable results of coupling the guide members to the frame.

8. Claims 1, 3-9, 11-13, 17, 19, and 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Moskovich (U.S. Patent 5,431,658) in view of Dillhoff (U.S. Patent 4,747,588).

Moskovich discloses a device comprising a proximal portion and a distal portion (see Figure 3 below). The proximal portion includes a frame having a stationary arm and a moveable arm coupled to the stationary arm. The distal portion includes a first guide member extending distally from the movable arm and a second guide member extending distally from the stationary arm, wherein a proximal end of the guide members is attached to the respective arms and the arms are transversely oriented to the guide members. The first and second guide members each include a guide surface extending between a first side and a second side extending between the proximal end and a distal end of the guide members and having a width greater than the width of an element, such as an implant, positioned between the guide members, wherein the guide surfaces are oriented toward and parallel with the guide surface of the opposite guide member and extend from the proximal end to the distal end of the guide members. The first and second guide members further include an abutment member adjacent the distal end of the guide members, wherein the abutment member project from the guide

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members in a direction opposite the guide surface and a support member extending distally from the abutment members, wherein the guide surface can include a number of guide rails that terminate adjacent the abutment members and wherein the guide surface extends beyond the guide rails along the support members and is planar along the support members (see Figure 3) or wherein the guide surface is planar along the entire length (see Figure 4). Moskovich fails to disclose the device wherein the guide members further include a guide flange. Dillhoff teaches a device comprising guide members (45 and 46) that include a guide flange (49) extending along only one side of the guide members such that guide flanges are on opposite sides of the guide members when the guide surfaces of each guide member are oriented toward each other (see Figures 7 and 8 of the reference) in order to allow the guide members grip and support a wide variety of workpieces (column 3 lines 20-34 and column 4 lines 29-32). It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the device of Moskovich wherein the guide members further include guide flanges in view of Dillhoff in order to grip and support a wide variety of workpieces.

Allowable Subject Matter

9. Claims 31 and 53-57 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Response to Arguments

10. Applicant's arguments with respect to claims 1, 3-19, 22-27, 29-40, 49-51, and 53-57 have been considered but are moot in view of the new ground(s) of rejection. The examiner has provided new grounds of rejection not necessitated by amendment making this office action non-final.

Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO-892 for cited references the examiner felt were relevant to the prior art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicholas Woodall whose telephone number is (571)272-5204. The examiner can normally be reached on Monday to Friday 8:00 to 5:30 EST..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas Barrett can be reached on 571-272-4746. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nicholas Woodall/
Examiner, Art Unit 3775

/Thomas C. Barrett/
Supervisory Patent Examiner, Art
Unit 3775